

International Journal of Mathematics And Its Applications Vol.1 No.1 (2013), pp.39-45.

ISSN: 2347-1557(online)

On Sums of s-orthogonal Matrices

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Abstract: In this paper we discussed the sum of s-orthogonal and s-unitary matrices. Also we show that every $A \in M_n(\mathbb{Z}_{2n-1})$ can be written as a sum of s-orthogonal matrices in M_n . Moreover, we show that every $A \in M_n(\mathbb{Z}_{2k})$ can be written a sum of of s-orthogonal matrices in $M_n(\mathbb{Z}_{2k})$ if and only if the row sums and column sums of A have the same parities.

Keywords: s-orthogonal matrix, s-unitary matrix, Sum of s-orthogonal and s-unitary matrices.

1 Introduction and Basic Definitions

Let $\mathbb{F} \in \{\mathbb{R}, \mathbb{C}, \mathbb{H}\}$. Let $\mathcal{U}_n^s(\mathbb{F})$ be the set of s-unitary matrices in $M_n(\mathbb{F})$, and let $\mathcal{O}_n^s(\mathbb{F})$ be the set of s-orthogonal matrices in $M_n(\mathbb{F})$. Suppose $n \geq 2$. In this chapter we show that every $A \in M_n(\mathbb{F})$ can be written as a sum of matrices in $\mathcal{U}_n^s(\mathbb{F})$ and of matrices in $\mathcal{O}_n^s(\mathbb{F})$. Let $A \in M_n(\mathbb{F})$ be given and let $k \geq 2$ be the least integer that is a least upper bound of the singular values of A. When $\mathbb{F} = \mathbb{C}$, we show that A can be written as a sum of k matrices from $\mathcal{U}_n^s(\mathbb{F})$. When $\mathbb{F} = \mathbb{R}$, we show that if k = 3, then A can

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be written as a sum of 6 s-orthogonal matrices; if k = 2, or if $k \ge 4$, we show that A can be written as a sum of k + 2 s-orthogonal matrices.

Let $\mathbb{F} = \mathbb{C}$ (the set of complex numbers) or $\mathbb{F} = \mathbb{R}$ (the set of real numbers). Let n be a given positive integer. We let $M_n(\mathbb{F})$ be the set of all $n \times n$ matrices with entries in \mathbb{F} . We also let $E_{ij} \in M_n(\mathbb{F})$ be the matrix whose (i, j) entry is 1 and all other entries are 0.

We study the sums of s-unitary matrices and we also study the sums of s-orthogonal matrices. We determine which matrices (if any) in $M_n(\mathbb{F})$ can be written as a sum of s-unitary or s-orthogonal matrices. We note that the sum of unitary matrices in $M_n(\mathbb{C})$ has been previously studied (see [1] and the references therein). Moreover, for $A, B \in M_n(\mathbb{C})$, sums of the form $UAU^* + VBV^*$, where $U, V \in M_n(\mathbb{C})$ are unitary, have also been studied [2]. In this paper we extend the result concerning unitary and orthogonal matrices into s-unitary and s-orthogonal matrices.

Notation 1.1. The secondary transpose (conjugate secondary transpose) of A is defined by $A^s = VA^TV$ $(A^{\Theta} = VA^*V)$, where "V" is the fixed disjoint permutation matrix with units in its secondary diagonal.

Definition 1.2. [3] Let $A \in \mathcal{M}_n(F)$

- (i). The matrix A is called s-normal, if $AA^{\Theta} = A^{\Theta}A$
- (ii). The matrix A is called s-orthogonal, if $AA^s = A^sA = I$. That is $A^TVA = V$
- (iii). The matrix A is called s-unitary, if $AA^{\Theta} = A^{\Theta}A = I$. That is $A^*VA = V$

Lemma 1.3. Let n be a given positive integer. Let $\mathcal{G} \subset M_n(\mathbb{F})$ be a group under multiplication. Then $A \in M_n(\mathbb{F})$ can be written as a sum of matrices in \mathcal{G} if and only if for every $Q, P \in \mathcal{G}$, the matrix QAP can be written as a sum of matrices in \mathcal{G} .

Notice that both $\mathcal{U}_n^s(\mathbb{F})$ and $\mathcal{O}_n^s(\mathbb{F})$ are groups under multiplication. Let $\alpha \in \mathbb{F}$ be given. Then Lemma 1.3 guarantees that for each $Q \in \mathcal{G}$, we have that αQ can be written as a sum of matrices from \mathcal{G} if and only if αI can be written as a sum of matrices from \mathcal{G} .

Lemma 1.4. Let $n \geq 2$ be a given integer. Let $G \subset M_n(\mathbb{F})$ be a group under multiplication. Suppose that \mathcal{G} contains $K \equiv diag(1, -1, ..., -1)$ and the permutation matrices. Then every $A \in M_n(\mathbb{F})$ can be written as a sum of matrices in \mathcal{G} if and only if for each $\alpha \in \mathbb{F}$, αI can be written as a sum of matrices in \mathcal{G} .

Proof. The forward implication is trivial. For the other direction, suppose that for each $\alpha \in \mathbb{F}$, αI can be written as a sum of matrices in \mathcal{G} . Now, $K \in \mathcal{G}$ so that for each $\alpha \in \mathbb{F}$, Lemma 1.3 guarantees that αK can also be written as a sum of matrices in \mathcal{G} . It follows that $\alpha E_{11} = \frac{\alpha}{2}I + \frac{\alpha}{2}K$ can be written as a sum of matrices in \mathcal{G} . Now, for each $1 \leq i, j \leq n$, notice that $E_{ij} = PE_{11}Q$ for some permutation matrices P and Q, and that $P, Q \in \mathcal{G}$. Therefore, if $A \in M_n(\mathbb{F})$, then A can be written as a sum of matrices in \mathcal{G} , as $A = [a_{ij}] = \sum_{i,j} a_{ij} E_{ij}$.

Lemma 1.5. Let $k \geq 2$ be a given integer. Let $A_k \equiv \{z \in \mathbb{C} : |z| \leq k\}$ and let $C_k \equiv \{\sum_{j=1}^k e^{i\theta_j} : \theta_j \in \mathbb{R} \}$ for $j = 1, ..., k\}$. Then $A_k = C_k$.

2 Sums of s-orthogonal Matrices

The only matrices in $\mathcal{O}_1^s(\mathbb{F})$ are ± 1 . Hence, not every element of $M_1(\mathbb{F})$ can be written as a sum of elements in $\mathcal{O}_1^s(\mathbb{F})$. In fact, only the integers can be written as a sum of elements of $\mathcal{O}_1^s(\mathbb{F})$.

2.1 The Case $\mathcal{U}_n^s(\mathbb{C})$

Let $\alpha \in \mathbb{C}$ be given. Then there exist an integer $k \geq 2$ and $\theta_1, ..., \theta_k \in \mathbb{R}$ such that $\alpha = f_k(\theta_1, ..., \theta_k)$. Now, notice that $\alpha I = f_k(\theta_1, ..., \theta_k)I = e^{i\theta_1}I + ... + e^{i\theta_k}I$ is a sum of matrices in $\mathcal{U}_n^s(\mathbb{C})$. When n = 1, every $\alpha \in \mathbb{C}$ can be written as a sum of elements of $\mathcal{U}_1^s(\mathbb{C})$. When $n \geq 2$, Lemma 1.4 guarantees that every $A \in M_n(\mathbb{C})$ can be written as a sum of matrices in $\mathcal{U}_n^s(\mathbb{C})$.

Lemma 2.1. Let n be a given positive integer. Then every $A \in M_n(\mathbb{C})$ can be written as a sum of matrices in $\mathcal{U}_n^s(\mathbb{C})$.

Let $A \in M_n(\mathbb{C})$ be given. We look at the number of matrices that make up the sum A.

Let $\alpha \in \mathbb{C}$ be given. If $|\alpha| \leq k$ for some positive integer k, then $\alpha \in \mathcal{A}_k$. Moreover, $\alpha \in \mathcal{A}_m$ for every integer $m \geq k$. For any such m, Lemma 1.5guarantees that there exist $\theta_1, ..., \theta_m \in \mathbb{R}$ such that $\alpha = e^{i\theta_1}I + ... + e^{i\theta_m}I$. However, if $|\alpha| > k$, then $\alpha \neq \mathcal{A}_k$ and α cannot be written as a sum of k elements of $\mathcal{U}_1^s(\mathbb{C})$.

Write $A = U\Sigma V$ (the singular value decomposition of A, where $U, V \in M_n(\mathbb{C})$ are s-unitary and $\Sigma = diag(\sigma_1, ..., \sigma_n)$ with $\sigma_1 \geq ... \geq \sigma_n \geq 0$. Let k be the least integer such that $\sigma_1 \leq k$. Suppose that $k \leq 2$. Then, for each l, we have $\sigma_l \in \mathcal{A}_k$. Moreover, $\sigma_1 \notin \mathcal{A}_{k-1}$. Hence, A cannot be written as a sum of k-1 s-unitary matrices. However, for each l, we have $\sigma_l = e^{i\theta_{l1}} + ... + e^{i\theta_{lk}}$, where each $\theta_{l1}, ..., \theta_{lk} \in \mathbb{R}$. For each t=1,...,k, set $U_t = diag(e^{i\theta_{1t}},...,e^{i\theta_{nt}})$. Then $U_t \in M_n(\mathbb{C})$ is s-unitary and $\sum_{t=1}^k U_t = \Sigma$. Hence, A can be written as a sum of k s-unitary matrices.

Suppose that k = 1. If $\sigma_n = 1$, then $\Sigma = I$ and A is s-unitary. If $\sigma_n \notin 1$, then for each l, we have $\sigma_l \in \mathcal{A}_2$, and A can be written as a sum of two s-unitary matrices. We summarize our results.

Theorem 2.2. Let $A \in M_n(\mathbb{C})$ be given. Let k be the least (positive) integer so that there exist $U_1, ..., U_k \in \mathcal{U}_n^s(\mathbb{C})$ satisfying $U_1 + ... + U_k = A$.

- (1). If A is s-unitary, then k = 1.
- (2). If A is not s-unitary and $\sigma_1(A) \in 2$, then k = 2.
- (3). If $m \ge 2$ is an integer such that $m < \sigma_1(A) \le m+1$, then k = m+1.

For positive integers $m \geq k$, we have $\mathcal{A}_k \subseteq \mathcal{A}_m$. Hence, every $U \in \mathcal{U}_n^s(\mathbb{C})$ can be written as a sum of two or more elements of $\mathcal{U}_n^s(\mathbb{C})$. It follows that every $A \in M_n(\mathbb{C})$ that can be written as a sum of k elements of $\mathcal{U}_n^s(\mathbb{C})$ can be written as a sum of m elements of $\mathcal{U}_n^s(\mathbb{C})$.

2.2 The Case $\mathcal{O}_n^s(\mathbb{C})$

Theorem 2.3. Let $n \geq 2$ be a given integer. Then every $A \in M_n(\mathbb{C})$ can be written as a sum of matrices from $\mathcal{O}_n^s(\mathbb{C})$.

Suppose that $A = Q_1 + Q_2$, where $Q_1, Q_2 \in \mathcal{O}_n^s(\mathbb{C})$. Then one checks that $AA^s = Q_1A^sAQ_1^s$, so that AA^s and A^sA are similar. Analogue to the proof of Theorem 13 of [4] ensures that A + QS, where Q is s-orthogonal and S is s-symmetric (or that A has a QS decomposition). Suppose now that has a QS decomposition. Is it true that A can be written as a sum of two (complex) s-orthogonal matrices? Take the case n = 1, and notice that every $A \in M_n(\mathbb{C})$ is a scalar and has a QS decomposition. However, only the integers can be written as a sum of orthogonal matrices in this case.

Lemma 2.4. Let an integer $n \geq 2$ and $0 \neq \alpha \mathbb{C}$ be given. If $\alpha I = Q + V$ is a sum of two matrices from $\mathcal{O}_n^s(\mathbb{C})$, then there exists a s-skew symmetric $D \in M_n(\mathbb{C})$ such that $Q = \frac{\alpha}{2}I + D$, $V = \frac{\alpha}{2}I - D$, and $DD^s = (1 - \frac{\alpha^2}{4})I$. Conversely, if there exists a s-skew symmetric $D \in M_n(\mathbb{C})$ such that $DD^s = (1 - \frac{\alpha^2}{4})I$, then $Q \equiv \frac{\alpha}{2}I + D$ and $V \equiv \frac{\alpha}{2}I - D$ are in $\mathcal{O}_n^s(\mathbb{C})$ and $Q + V = \alpha I$.

Proof. Let an integer $n \geq 2$ and $0 \neq \alpha \in \mathbb{C}$ be given. Suppose that $\alpha I \in M_n(\mathbb{C})$ can be written as a sum of two s-orthogonal matrices, say, $\alpha I = Q + V$. Write $Q = [a_{ij}] = [q_1...q_n]$ and $V = [b_{ij}] = [v_1...v_n]$. Then, $b_{ij} = -a_{ij}$ for $i \neq j$. Now, for each i = 1, ..., n, we have $\sum_{j=1}^{n} a_{ij}^2 = q_i^s q_i = 1 = v_i^s v_i = \sum_{j=1}^{n} b_{ij}^2 = b_{ii}^2 + \sum_{j \neq i, j=1}^{n} a_{ij}^2$.

Hence, $b_{ii} = \pm a_{ii}$. Because $Q + V = \alpha I$ and $\alpha \neq 0$, we have $b_{ii} = a_{ii} = \frac{\alpha}{2}$. Set $D = [d_{ij}]$, with $d_{ij} = a_{ij}$ if $i \neq j$, and $d_{ii} = 0$, so that $Q = \frac{\alpha}{2}I + D$ and $V = \frac{\alpha}{2}I - D$. Now, since Q and V are s-orthogonal, we have

$$QQ^{s} = \frac{\alpha^{2}}{4}I + \frac{\alpha}{2}(D + D^{s}) + DD^{s} = I$$
 (2.1)

and

$$VV^{s} = \frac{\alpha^{2}}{4}I - \frac{\alpha}{2}(D + D^{s}) + DD^{s} = I.$$
 (2.2)

Subtracting equation (2.2) from equation (2.1), we get $D=-D^s$, so that D is s-skew symmetric. Moreover, $DD^s=(1-\frac{\alpha^2}{4})I$. For the converse, suppose that $D\in M_n(\mathbb{C})$ is s-skew symmetric and satisfies $DD^s=(1-\frac{\alpha^2}{4})I$. Set $Q\equiv \frac{\alpha}{2}I+D$ and set $V\equiv \frac{\alpha}{2}I-D$. Then one checks that both Q and V are s-orthogonal matrices and $Q+V=\alpha I$.

Theorem 2.5. Let n be a given positive integer. For each $\alpha \in \mathbb{C}$ and each s-orthogonal $Q \in M_{2n}(\mathbb{C})$, αQ can be written as a sum of two s-orthogonal matrices.

Let an integer $n \geq 2$ be given. If $\alpha \in \{-2, 0, 2\}$, then one checks that $\alpha I \in M_n(\mathbb{C})$ can be written as a sum of two s-orthogonal matrices.

Theorem 2.6. Let $\alpha \in \mathbb{C}$ and let a positive integer n be given. Then $\alpha I \in M_{2n+1}(\mathbb{C})$ can be written as a sum of two matrices from $\mathcal{O}_n^s(\mathbb{C})$ if and only if $\alpha \in \{-2,0,2\}$.

Proof. For the forward implication, let $\in \mathbb{C}$ and let a positive integer n be given. Suppose that $\alpha I \in M_{2n+1}(\mathbb{C})$ can be written as a sum of two s-orthogonal matrices. Then $\alpha = 0$ or $\alpha \neq 0$. If $\alpha = 0$, then $\alpha \in \{-2,0,2\}$. If $\alpha \neq 0$, we show that $\alpha \pm 2$. Lemma 2.4 guarantees that there exists a s-skew symmetric $D \in M_n(\mathbb{C})$ satisfying $DD^s = (1 - \frac{\alpha^2}{4})I$. Now, since n is odd, the s-skew symmetric D is singular. Hence, DD^s is singular and $\alpha \pm 2$. The backward implication can be shown by direct computation.

2.3 The Case $\mathbb{F} = \mathbb{R}$ and $\mathbb{F} = \mathbb{H}$

Theorem 2.7. Let $n \geq 2$ be a given integer. Every $A \in M_n(\mathbb{R})$ can be written as a sum of matrices from $\mathcal{O}_n^s(\mathbb{R}) = \mathcal{U}_n^s(\mathbb{R})$.

Theorem 2.8. Let a positive integer n and let $A \in M_{2n}(\mathbb{R})$ be given. Suppose that $k \geq 2$ is an integer such that $\sigma_1(A) \leq k$. Then A can be written as a sum of 2k matrices in $\mathcal{O}_{2n}^s(\mathbb{R})$. Moreover, for every integer $m \geq 2k$, the matrix A can be written as a sum of m matrices in $\mathcal{O}_{2n}^s(\mathbb{R})$.

Proof. Let $A = U\Sigma V$ be a singular value decomposition of A. Then Lemma 1.3 guarantees that we only need to concern ourselves with Σ .

For n=1, notice that $diag(\sigma_1,\sigma_2)=sI_2+rK_2$, where $s=\frac{1}{2}(\sigma_1+\sigma_2)$ and $t=\frac{1}{2}(\sigma_1-\sigma_2)$. Now, $0 \le t \le s \le k$. Hence, sI_2 and tK_2 can each be written as a sum of k s-orthogonal matrices. Moreover, for each integer $p \ge k$, notice that sI_2 can be written as a sum of p s-orthogonal matrices. Hence, sI_2+rK_2 can be written as a sum of p+k s-orthogonal matrices. For n>1, write $\Sigma=diag(\sigma_1,\sigma_2,...,\sigma_{2n-1},\sigma_{2n})=diag(\sigma_1,\sigma_2)\oplus...\oplus diag(\sigma_{2n-1},\sigma_{2n})$. Notice now that for each j=1,...,n, $diag(\sigma_{2j-1},\sigma_{2j})$ can be written as a sum of 2k s-orthogonal matrices, say $P_{j1},...,P_{j(2k)}$. For each l=1,...,2k, set $Q_l \equiv P_{1l}\oplus...\oplus P_{nl}$, and notice that $\Sigma=Q_1+...+Q_{2k}$.

Finally, notice that for each integer $m \ge 2k$ and for each each j = 1, ..., n, the matrix $diag(\sigma_{2j-1}, \sigma_{2j})$ can be written as a sum of m s-orthogonal matrices.

Lemma 2.9. Let $C \in M_2(\mathbb{R})$ be given. Suppose that $k \geq 2$ is an integer such that $\sigma_1(C) \leq k$. Then for each integer $t \geq k + 2$, C can be written as a sum of t matrices from $\mathcal{U}_2^s(\mathbb{R})$.

Theorem 2.10. Let n be positive integer, and let $A \in M_{2n}(\mathbb{R})$ be given. Suppose that $k \geq 2$ is an integer such that $\sigma_1(A) \leq k$. Then for each integer $t \geq k+2$, A can be written as a sum of t matrices in $\mathcal{U}_{2n}^s(\mathbb{R})$.

Theorem 2.11. Let $A \in M_{2n+1}(\mathbb{R})$ be given. Suppose $k \geq 2$ is an integer such that $\sigma_1(A) \leq k$. If $k \leq 3$, then A can be written as a sum of at most six matrices in $\mathcal{O}_{2n+1}^s(\mathbb{R})$. If $k \geq 4$, then A can be written as a sum of k+2 matrices in $\mathcal{O}_{2n+1}^s(\mathbb{R})$.

Theorem 2.12. Let n be a given positive integer. Let $A \in M_n(\mathbb{H})$ be given. Then A can be written as a sum of matrices from $\mathcal{U}_n^s(\mathbb{H})$. Moreover, if $k \geq 2$ is an integer such that $\sigma_1(A) \leq k$, then A can be written as a sum of k matrices from $\mathcal{U}_n^s(\mathbb{H})$.

Theorem 2.13. Let $n \geq 2$ be a given integer. Let $A \in M_n(\mathbb{H})$ be given. Then A can be written as a sum of matrices from $\mathcal{O}_n^s(\mathbb{H})$.

3 On Sums of s-orthogonal Matrices $M_n(\mathbb{Z}_k)$

Lemma 3.1. Let k and n be given positive integers. If $E_{11} \in M_n(\mathbb{Z}_k)$ can be written as a sum of matrices from $\mathcal{O}_{n,k}^s$, then every $A \in M_n(\mathbb{Z}_k)$ can be written as a sum of matrices in $\mathcal{O}_{n,k}^s$.

Lemma 3.2. Let k and n be given positive integers, with $k \geq 2$. Then $2E_{11} \in M_n(\mathbb{Z}_k)$ can be written as a sum of matrices from $\mathcal{O}_{n,k}^s$.

Theorem 3.3. Let k and n be given positive integers, with $k \geq 2$. Then $A \in M_n(\mathbb{Z}_{2k-1})$ can be written as a sum of matrices from $\mathcal{O}_{n,2k-1}^s$.

Lemma 3.4. Let $a_1, ..., a_k \in \mathbb{Z}_{2m}$ be given. If $a_1^2 + ... + a_k^2 = 1$, then $a_1 + ... + a_k$ is odd.

Proof. Notice that if there are an even number of ai that are odd, then the sum $a_1^2 + ... + a_k^2$ is even. Hence, there are an odd number of a_i that are odd, and $a_1 + ... + a_k$ is odd.

Theorem 3.5. Let k and n be given positive integers. If $A \in M_n(\mathbb{Z}_{2k})$ is s-orthogonal, then the row sums and the column sums of A are all odd.

Corollary 3.6. Let k and n be given positive integers. If $A \in M_n(\mathbb{Z}_{2k})$ is a sum of matrices in $\mathcal{O}_{n,2k}^s$, then the row sums of A have the same parities and the column sums of A have the same parities. Moreover, the row sums and the column sums also have the same parities.

Lemma 3.7. Let k and n be given positive integers with $n \geq 2$. Let $A \in M_n(\mathbb{Z}_{2k})$ have even entries only. Then A can be written as a sum of matrices in $\mathcal{O}_{n,2k}^s$.

Theorem 3.8. Let k be a given positive integer. Let $B = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in M_n(\mathbb{Z}_{2k})$. The following are equivalent.

- (1). B can be written as a sum of matrices in $\mathcal{O}_{2,2k}^s$.
- (2). a and d have the same parities, and b and c have the same parities.
- (3). The row sums of B and the column sums of B have the same parities.

Theorem 3.9. Let k and $n \geq 2$ be given positive integers. Let $B \in Mn(\mathbb{Z}_{2k})$ be given. Then B can be written as a sum of matrices in $\mathcal{O}_{n,2k}^s$ if and only if the row sums of B and the column sums of B have the same parities.

Lemma 3.10. Let n and k be given positive integers with $n \geq 3$. Let $B = [b_{ij}] \in M_n(\mathbb{Z}_{2k})$ have an odd parity. Suppose that $b_{11} = 1$. Suppose further that the entries in the first row are not all 1 and that the entries in the first column are not all 1. Then B = C + D, where $C = [1] \oplus F$, with $F \in M_{n-1}(\mathbb{Z}_{2k})$ having an odd parity and $D \in M_n(\mathbb{Z}_{2k})$ is a sum of matrices in $\mathcal{O}_{n,2k}^s$.

Proof. It is without loss of generality to assume that $b_{1n} = 0$ and $b_{n1} = 0$. When n = 3, then $b_{12} = b_{21} = 0$, and we may take D = 0. Suppose now that $n \geq 4$. Let r_1 be the first row of B and let c_1 be the first column of B. Take s to be the vector with the same entries as r_1 but with the first entry changed to 0. Take t to be the vector which has the same entries as c_1 except in the first position. Set D as the matrix with first and last columns t, first and last rows s, and 0 elsewhere. Notice that the number of 1 in t is even, and that the number of 1 in s is also even. Hence, D can be written as a sum of matrices that are permutation equivalent to $E_2 \oplus 0$, and hence, can be written as a sum of matrices in $\mathcal{O}_{n,2k}^s$. Notice also that C = B + D has the form $C = [1] \oplus F$, where $F \in M_{n-1}(\mathbb{Z}_2)$. Because B has an odd parity and because D has an even parity, F has an odd parity.

Corollary 3.11. Let $n \geq 2$ be a given integer. Then $B \in M_n(\mathbb{Z})$ can be written as a sum of s-orthogonal matrices in $M_n(\mathbb{Z})$ if and only if the row sums of B and the column sums of B have the same parities.

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